

Guarantee Advise Cancellation User Guide

Oracle Banking Trade Finance Process Management

Release 14.5.2.0.0

Part No. F45916-01

August 2021

Oracle Banking Trade Finance Process Management - Guarantee Advise Cancellation User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India

Worldwide Inquiries:

Phone: +91 22 6718 3000

Fax: +91 22 6718 3001

www.oracle.com/financialservices/

Copyright © 2018-2021, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Contents

Oracle Banking Trade Finance Process Management	1
Overview.....	1
Benefits.....	1
Key Features	1
Guarantee Advise Cancellation	2
Common Initiation Stage.....	2
Registration.....	3
Application Details	5
Guarantee Details.....	6
Miscellaneous.....	8
Data Enrichment	9
Main Details	11
Guarantee Preference	14
Additional Fields	16
Advices	18
Additional Details.....	21
Preview Message	29
Settlement Details	33
Summary	35
Multi Level Authorization.....	37
Re-Key Authorization.....	37
Handoff:.....	40
Reference and Feedback	42
References.....	42
Documentation Accessibility.....	42
Feedback and Support.....	42

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of Trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advise Cancellation

As a part of Guarantee Advise Cancellation, the user requests for cancellation of a Guarantee/SBLC advised. On the issuing bank request, the Guarantee Advise Cancellation is initiated. The cancellation request is indicated in the incoming MT 767 message, field 23S Cancellation Request.

If the field 23S has value 'CANCEL', then Guarantee/SBLC should be initiated.

This section contains the following topics:

[Common Initiation Stage](#)

[Common Initiation Stage](#)

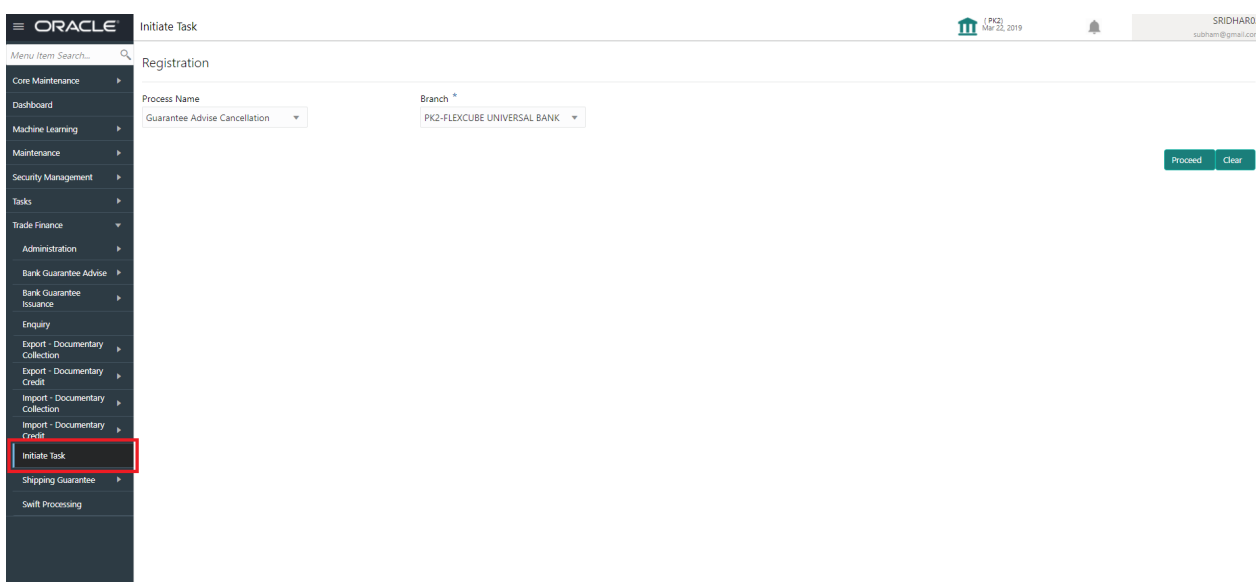
[Data Enrichment](#)

[Multi Level Authorization](#)

Common Initiation Stage

The user can initiate the Guarantee Advise Cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

Registration

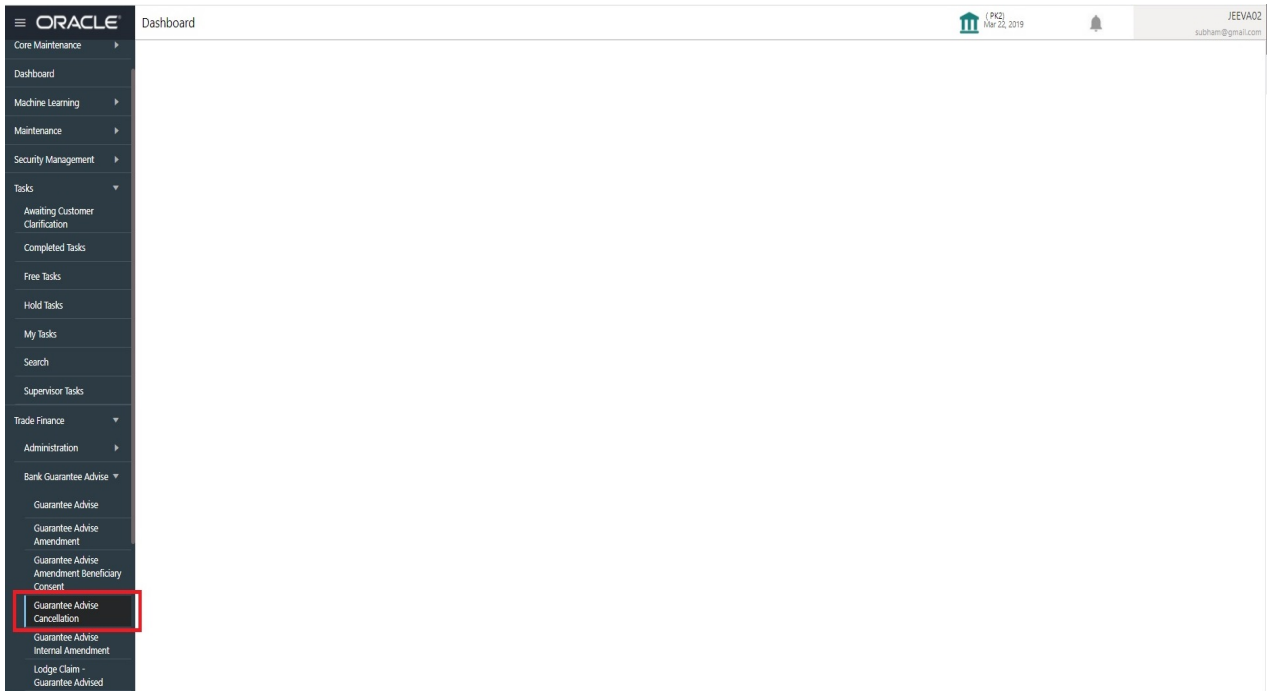
The first stage of Guarantee Advise Cancellation process starts from the Registration Stage. During Registration stage, the user can register Guarantee/SBLC Cancellation request received from the Applicant. If the Guarantee Advise cancellation request is given through email or physical application form (courier), the user can update the request.

The user has the option to submit, hold, save and hold and cancel the application

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance > Bank Guarantee Advise > Guarantee Advise Cancellation**.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

Application Details

Guarantee Advise Documents Remarks

Application Details

Beneficiary *
000335 SH TEST CORP

Submission Mode *
Desk

Branch *
000-FLEXCUBE UNIVERSAL BANK

Process Reference Number
000GTEA000002660

32B - Currency Code, Amount *
USD US\$1,200.00

Advising Date *
05-May-2021

Priority *
Medium

Issuer *
000329 MANHATTAN

Guarantee Details

22D - Form of Undertaking
DGAR - Guarantee

20 - Undertaking Number

22K - Type of Undertaking
DPAY - Direct Pay

Date of Expiry *
31-Aug-2021

40E - Applicable Rules
URDG - Uniform rules for dema...

Product Code
GUAD

22A - Purpose of Message

23K - Narrative

35G - Expiry Condition/ Event *

40C - Narrative

Product Description
Guarantee Advising

23X - File Identification

31C - Date of Issue *
05-May-2021

Applicant

39D - Additional Amounts

Contract Reference Number
PK2GUAD211258501

23X - Narrative

23B - Expiry Type
COND

51 - Obligor/ Instructing Party

Amount In Local Currency
GBP £923.08

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	The user can input the Advising Reference of the Guarantee to be cancelled. Alternatively, user can search the Advising Bank Reference Number using LOV. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. System will default the name of the customer as available in Guarantee Advise.	Toggle off
Branch	Read only field. System will default the branch from Guarantee Advise.	
Priority	System will default the Priority as Low/Medium/High based on maintenance. If no priority is maintained, system defaults the priority as Medium.	High
Submission Mode	Submission mode of Guarantee. Cancellation request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Email - Request received through Email Courier - Request received through Courier	Desk

Field	Description	Sample Values
Amendment Number	Read only field. Unique Amendment sequence number defaults from the back office.	
Process Reference Number	Unique sequence reference number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Issuing Bank	Issuing Bank Name defaults from the Guarantee Advise details.	
Cancellation Date	By default, the application will display branch's current date. User can change the date to back date, future date is not allowed.	

Guarantee Details

The user can view the latest LC values defaulted in the respective fields. All fields displayed in Guarantee details section are read only fields.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking defaults from Guarantee Advised.	
Undertaking Number	Read only field. Form of Undertaking number from Guarantee Advised.	
Product Code	Read only field. This field displays the product code defaulted from Guarantee Advised.	
Product Description	Read only field. This field displays the description of the product as per the product code available in Guarantee Advised.	
Undertaking Amount	Read only field. System defaults the outstanding value available in Guarantee Advised.	
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Purpose of Message	Read only field. Purpose of message defaults from Guarantee Advised.	

Field	Description	Sample Values
File Identification	Read Only Field. System will default the value available in Guarantee Advised.	
Narrative	Read Only Field. System defaults the value available in Guarantee Advised.	
Applicable Rules	Read only field. This field displays the rules of the Guarantee Advised.	
Narrative	System defaults the value available in Guarantee Advised.	
Type Of Undertaking	Read only field. System defaults the value available from Guarantee Advised details.	
Narrative	Read Only Field. System defaults the value available in Guarantee Advised.	
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration.	04/13/18
Expiry Type	Read Only Field. System defaults the expiry type available in Guarantee Advised.	
Date of Expiry	Read Only Field. System defaults the expiry date available in Guarantee Advised.	
Expiry Condition/ Event	Read Only Field. System defaults the expiry condition available in Guarantee Advised.	
Applicant	Read only field. This system defaults the applicant name available in Guarantee Advised.	
Obligor/ Instructing Party	Read only field. This system defaults the value available in Guarantee Advised.	

Field	Description	Sample Values
Advice Through Bank	Read only field. System defaults the value available in Guarantee Advised.	
Additional Amounts	Additional Amount Covered as per the latest LC details is displayed.	
Beneficiary Consent Required	Toggle on: Beneficiary consent required for cancellation. Toggle off: Switch off the toggle if beneficiary consent is not required for cancellation.	

Miscellaneous

The screenshot shows the Oracle Oracle Cloud interface for 'Guarantee Advise Cancellation'. The form is divided into several sections:

- Guarantee Advise Cancellation:** Includes fields for Advising Bank Reference Number (PK2GUAD19081AA16), Submission Mode (Desk), Cancellation Date (May 6, 2019), Beneficiary (001044 GOODCARE PLC), Branch (PK2-FLEXCUBE UNIVERSAL BANK), Process Reference Number (PK2GTAC000057525), Priority (Medium), and Issuing Bank (000987 CITIBANK).
- Guarantee Details:** Includes fields for 22D - Form of Undertaking, 32B - Undertaking Amount (GBP £30,000.00), 40C - Applicable Rules (None - Not subject to any rules), 30 - Date of Issue (Mar 22, 2019), Applicant (000049 Blackworth Phai), Beneficiary Consent Required (toggle), 20 - Undertaking Number, 22A - Purpose of Message (Advice of amendment to issued ...), 40C - Narrative (OTHR), 23B - Expiry Type (FIXD), 51 - Obligor/ Instructing Party, Product Code (GUAD), 23X - File Identification, 22K - Type of Undertaking (BILL - Bill of lading), Date of Expiry (Jun 20, 2019), Advise Through Bank, Product Description (Guarantee Advising), 23X - Narrative, 22K - Narrative, 35G - Expiry Condition/Event, and 39D - Additional Amounts.

Red boxes highlight the 'Documents' and 'Remarks' buttons at the top right, and the 'View Guarantee/SBLC' and 'Guarantee/SBLC Events' buttons in the middle right. At the bottom right, there are buttons for 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. System displays the mandatory and optional documents that are maintained in Document Maintenance. If mandatory documents are not uploaded, system should display an error on submit.	
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.	
View Guarantee/SBLC	Clicking on View Guarantee/SBLC button enables user to view the details of the Guarantee/SBLC.	

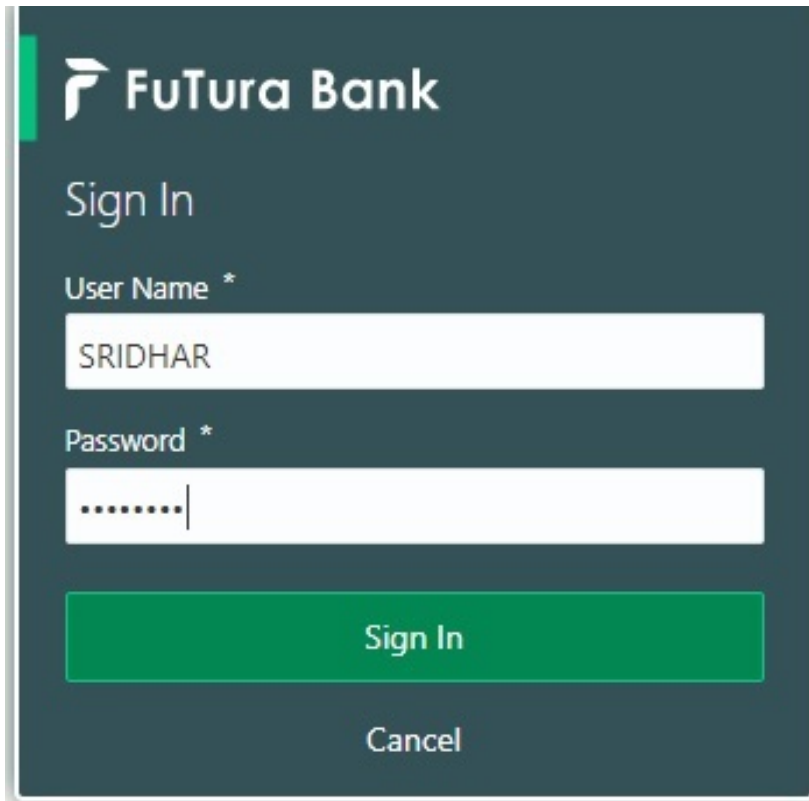
Field	Description	Sample Values
Guarantee/SBLC Events	Clicking on Events button enables the user to view the snapshot of various events under the Guarantee/SBLC details.	
Action Buttons		
Submit	On Submit, system trigger advice to the customer and will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	The task gets canceled and system should clear the Guarantee Advice Cancellation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	
Verify Signature	System will display the details of Authorized signatories. The pop up box will display the signature id, signature title and image of the signature for verification.	

Data Enrichment

As part of Data Enrichment, user can register and update the Guarantee Cancellation request received from the Issuing Bank. If the request is received by mail/Courier, the user should be able to update the request. In case the message is received by SWIFT, then the cancellation task needs to be auto created and available for the user to handle.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance> Tasks> Free Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee Advise Cancellation	PK2GTAC000045576	PK2GTAC000045576	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045574	PK2ELCU000045574	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045570	PK2ILCI000045570	Reject Approval	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045570	PK2ILCI000045570	Reject Approval	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045565	PK2ILCI000045565	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim- Guarantee Advised	PK2GADC000045567	PK2GADC000045567	DataEnrichment	21-02-04	PK2	
<input type="checkbox"/> Acquire & E...	M	Lodge Claim- Guarantee Advised	PK2GADC000045560	PK2GADC000045560	DataEnrichment	21-02-04	PK2	
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045554	PK2ELCU000045554	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import Documentary Collection...	PK2IDCB000045554	PK2IDCB000045554	Handoff RetryTask	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export Documentary Collection...	PK2EDCU000045548	PK2EDCU000045548	Handoff RetryTask	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045547	PK2ELCU000045547	Registration	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing Update	PK2ILCU000045541	PK2ILCU000045541	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Export LC Advise	PK2ELCA000045532	PK2ELCA000045532	Approval Task Level 1	21-02-04	PK2	001043

4. Select the appropriate cancellation task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Guarantee Advise Cancellation	PK2GTAC000045576	PK2GTAC000045576	DataEnrichment	21-02-04	PK2	001044
Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045574	PK2ELCU000045574	Scrutiny	21-02-04	PK2	001044
Acquire & E...	M	Import LC Issuance	PK2ILCI000045572	PK2ILCI000045572	Reject Approval	21-02-04	PK2	001044
Acquire & E...	M	Import LC Issuance	PK2ILCI000045570	PK2ILCI000045570	Reject Approval	21-02-04	PK2	001044
Acquire & E...	M	Import LC Issuance	PK2ILCI000045565	PK2ILCI000045565	DataEnrichment	21-02-04	PK2	001044
Acquire & E...	M	Lodge Claim-Guarantee Advised	PK2GADC000045567	PK2GADC000045567	DataEnrichment	21-02-04	PK2	
Acquire & E...	M	Lodge Claim-Guarantee Advised	PK2GADC000045560	PK2GADC000045560	DataEnrichment	21-02-04	PK2	
Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045554	PK2ELCU000045554	Scrutiny	21-02-04	PK2	001044
Acquire & E...	M	Import Documentary Collection...	PK2IDCB000045552	PK2IDCB000045552	Handoff RetryTask	21-02-04	PK2	001044
Acquire & E...	M	Export Documentary Collection...	PK2EDCU000045548	PK2EDCU000045548	Handoff RetryTask	21-02-04	PK2	001044
Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045547	PK2ELCU000045547	Registration	21-02-04	PK2	001044
Acquire & E...	M	Import LC Drawing Update	PK2ILCU000045541	PK2ILCU000045541	Scrutiny	21-02-04	PK2	001044
Acquire & E...	H	Export LC Advise	PK2ELCA000045532	PK2ELCA000045532	Approval Task Level 1	21-02-04	PK2	001043

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Guarantee Advise Canc...	PK2GTAC000042650	PK2GTAC000042650	DataEnrichment	20-12-17	PK2	001044	£27,000.00
Edit	M	Guarantee Advise Canc...	PK2GTAC000042649	PK2GTAC000042649	Registration	20-12-17	PK2	001044	£50,000.00
Edit	M	Guarantee Advise Canc...	PK2GTAC000042647	PK2GTAC000042647	Registration	20-12-17	PK2	001044	£50,000.00
Edit	M	Guarantee Issuance Ame...	PK2GTEI000042613	PK2GTEI000042613	DataEnrichment	20-12-16	PK2	001044	£1,000.00
Edit	M	Guarantee Issuance Ame...	PK2GTEI000042611	PK2GTEI000042611	DataEnrichment	20-12-16	PK2	001044	£1,000.00
Edit	M	Guarantee Advise Amen...	PK2GTEA000042568	PK2GTEA000042568	DataEnrichment	20-12-16	PK2	001044	£27,000.00
Edit	M	Import Documentary C...	PK2IDCR000042559	PK2IDCR000042559	DataEnrichment	20-12-15	PK2	001043	£50,000.00
Edit	M	Import Documentary C...	PK2IDCU000042558	PK2IDCU000042558	DataEnrichment	20-12-15	PK2	001044	£100,200.00
Edit	M	Guarantee Issuance Ame...	PK2GTEI000042555	PK2GTEI000042555	DataEnrichment	20-12-15	PK2	001044	£1,000.00
Edit	M	Guarantee Issuance Ame...	PK2GTEI000042551	PK2GTEI000042551	Registration	20-12-15	PK2	001044	£93,355.00
Edit	M	Guarantee Amendment	PK2GTEA000042536	PK2GTEA000042536	DataEnrichment	20-12-15	PK2	001044	£2,000.00
Edit	M	Import Documentary C...	PK2IDCR000042517	PK2IDCR000042517	DataEnrichment	20-12-15	PK2	001044	£2,000.00
Edit	M	Import Documentary C...	PK2IDCU000042515	PK2IDCU000042515	DataEnrichment	20-12-15	PK2	001044	£999,999.00
Edit	M	Import Documentary C...	PK2IDCL000042513	PK2IDCL000042513	DataEnrichment	20-12-15	PK2	000149	£1,000.00

The Guarantee Advise Cancellation - Data Enrichment stage has three sections as follows:

- Main Details
- Guarantee Preferences
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Advise Cancellation Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

Refer to [Application Details](#) section of [Registration](#) stage for more information of the fields.

Guarantee Advise Cancellation - DataEnrichment :: Application No: PK2GTAC000062490

Main

Application Details

Advising Bank Reference Number: PK2GUAD211258003

Submission Mode: Desk

Cancellation Date: 05-May-2021

Guarantee Details

22D - Form of Undertaking: GUAD

32B - Undertaking Amount: GBP £11,000.00

23X - Narrative: [Download]

22K - Narrative: [Download]

35G - Expiry Condition/Event: dfggf [Download]

39D - Additional Amounts: [Download]

Beneficiary: 001044 GOODCARE PLC [Download]

Amendment Number: 1

Branch: PK2-Oracle Banking Trade Finan...

Process Reference Number: PK2GTAC000062490

Priority: Medium

Issuing Bank: 003763 CITIBANK IRELA [Download]

20 - Undertaking Number: [Input]

Amount In Local Currency: GBP £11,000.00

40C - Applicable Rules: None - Not subject to any rules

30 - Date of Issue: 05-May-2021

Applicant: 001043 MARKS AND SPI [Download]

Beneficiary Consent Required: [Toggle]

Product Code: GUAD

22A - Purpose of Message: Advice of amendment to issued ...

40C - Narrative: OTHR [Download]

23B - Expiry Type: [Input]

51 - Obligor/ Instructing Party: [Input]

Product Description: Guarantee Advising

23X - File Identification: [Input]

22K - Type of Undertaking: [Input]

Date of Expiry: 03-Aug-2021

Advise Through Bank: [Input]

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#).

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	

Field	Description	Sample Values
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Guarantee Preference

In this section user can enter and update the acknowledgment details and response details.

Provide the Guarantee Preference based on the following table.

Field	Description	Sample Values
Sender to Receiver Information		
Sender to Receiver Information	Select the additional information for receiver from the LOV.	
MT768- Acknowledgment Details		
Account Identification	Provide the values for account identification.	
Date of Message Ack	Read Only. System defaults the current system date as date of message acknowledgment.	
Amount of Charges	Provide the values for the amount of charges.	
Account with Bank	User can enter the account with bank details.	
Charge Details	Provide the details of charges if applicable.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Additional Fields

In this step system defaults the Additional details based on the Additional fields maintained in the system.

Action Buttons

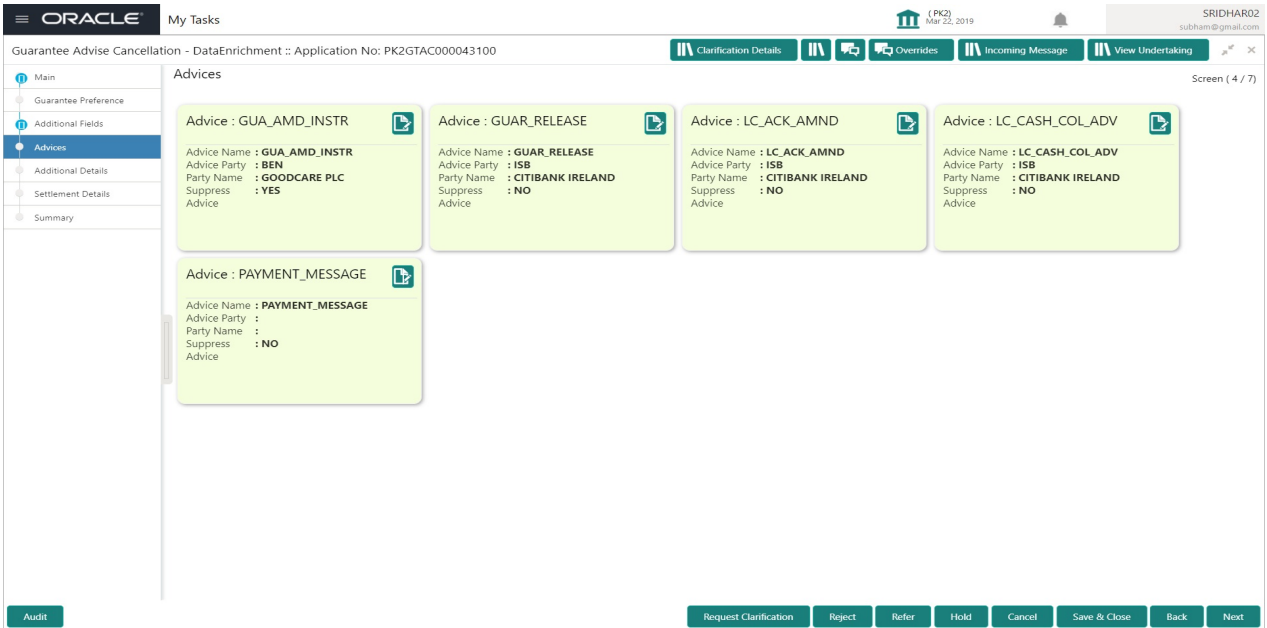
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

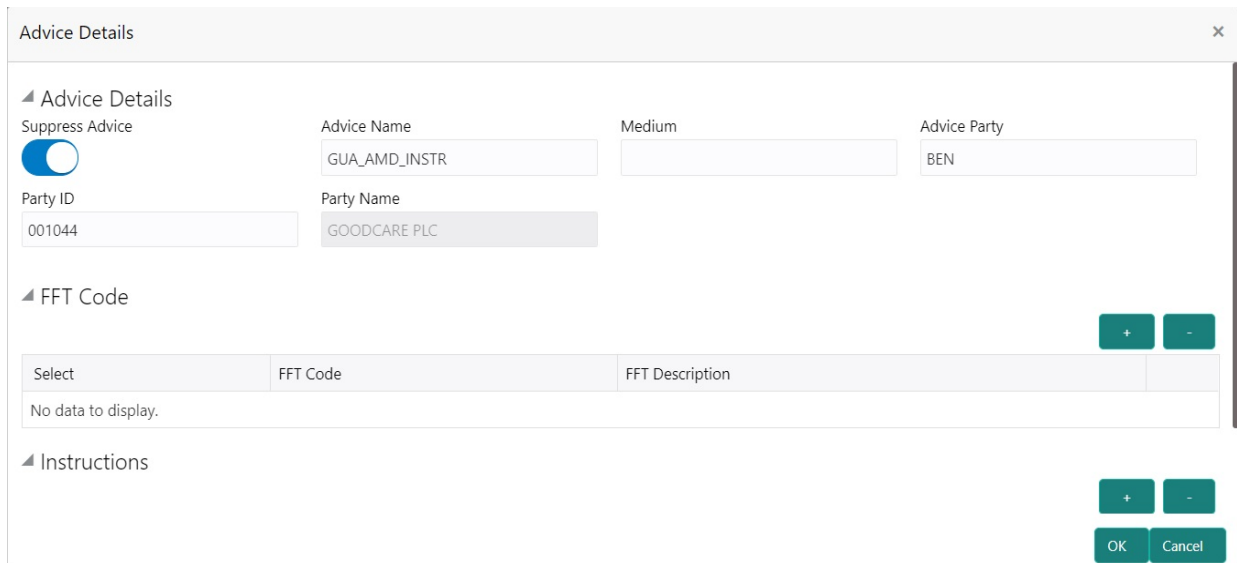
Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p>	
View Undertaking	<p>Clicking on View Undertaking button enables user to view the details of the undertaking.</p>	

Advices





This section defaults the advices maintained for the product based on the advices maintained at the Product level.



The user can also suppress the Advice, if required.



Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required.</p>	
Advice Name	User can select the instruction code as a part of free text.	

Field	Description	Sample Values
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC advise. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC advise. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Additional Details

In the Additional details section, the user can verify/input/update the additional details data segment of the Guarantee/SBLC Cancellation request.

Guarantee cancellation may have impact on the Charges & Commission section.

The screenshot displays the Oracle application interface for a Guarantee Advise Cancellation request. The main content area is titled 'Additional Details' and contains two tiles:

- Limit & Collateral:**
 - Limit Currency :
 - Limit Contribution :
 - Limit Status :
 - Collateral Currency : **GBP**
 - Collateral Contribution : **2000**
 - Collateral Status :
- Charge Details:**
 - Charge : **GBP 100**
 - Commission :
 - Tax :
 - Block Status :

The interface also features a navigation menu on the left with options like Main, Guarantee Preference, Additional Fields, Advices, Additional Details (selected), Settlement Details, and Summary. The bottom toolbar includes buttons for Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.



Note

For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

Limit & Collateral									
Limit Details									
<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001044		100	GBP	US\$9,000.00			001044	
Collateral Details									
<input type="checkbox"/>	Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	Cash Collateral	10	GBP	US\$900.00	PK20010440017			Cash Collateral	
Deposit Linkage Details									
<input type="checkbox"/>	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete	
<input type="checkbox"/>	PK2CDP1210860002	GBP	2022-03-27	GBP	9900	US\$4,050.00	PK2CDP1210860002		

Page 1 of 1 (1 of 1 Items) | < 1 >

[Save & Close](#) [Close](#)

Limits Details

Limit Details
✕

Customer Id
001044

Contribution % *
100.0 ▼ ▲

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date
24-Dec-2020

[Verify](#)

Line ID *
001044_GB

Limits Description

Contribution Amount *
£9,000.00

Limit Available Amount
£9,99,999.00

Response Message
The Earmark can be performed as the f

[Save & Close](#) [Close](#)

Limit Details
✕

Customer Id
001044

Contribution % *
100.0

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date
24-Dec-2020

Line ID *
001044_GB



Limits Description

Contribution Amount *

Limit Available Amount



Response Message

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
	Click plus icon to add new Limit Details.	
	Click minus icon to remove any existing Limit Details.	
Customer ID	This field displays the applicant's bank customer ID.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

Field	Description	Sample Values
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
	Click plus icon to add new Collateral Details.	
	Click minus icon to remove any existing Collateral Details.	

Field	Description	Sample Values
Collateral Type	<p>Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Collateral %	<p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Currency	<p>Read only field.</p> <p>The guarantee currency will get defaulted in this field.</p>	
Contribution Amount	<p>Collateral contribution amount will get defaulted in this field.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	

Field	Description	Sample Values
Response Message	Detailed Response message.	

Charge Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

×

Recalculate
Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK100001540018 🔍
LCSWIFTAMN	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		🔍

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

✔ Save & Close
✕ Close

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	Charges can not be deferred further. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary.	
Settlement Account	Details of the settlement account.	

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Tax Details					
Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

Field	Description	Sample Values
Component	Tax Component type.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

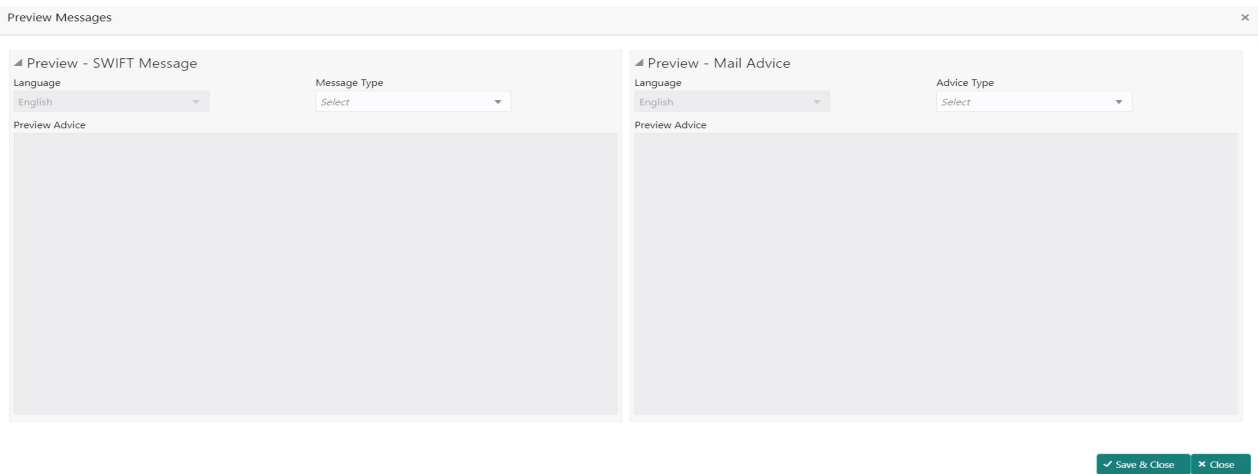
Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

Based on the Guarantee Cancellation details captured in the previous screen, the preview message simulated from the Back Office and the user can view the message.



Field	Description	Sample Values
Preview SWIFT Message		
Currency	The tax currency is the same as the commission.	
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	

Field	Description	Sample Values
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Cancel	Cancel the task window and return to dashboard. The data entered will not be saved.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Settlement Details

Guarantee Advise - DataEnrichment :: Application No: PK1GTEA000002981

Screen (7 / 8)

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AVL_SET_LCAMT			PK1000325025		GBP		N
AVL_SET_LCAMTEQ			PK1000325025		GBP		N
COLLAMT_OSEQ			PK1000325025		GBP		N
COLL_AMNDAMTEQ			PK1000325025		GBP		N
COLL_AMTEQ			PK1000325025		GBP		N
COLL_AMT_DECR			PK1000325025		GBP		N
COLL_AMT_INCR			PK1000325025		GBP		N
COLL_AVALAMTEQ			PK1000325025		GBP		N

AVL_SET_LCAMT - Party Details

Transfer Type:

Charge Details:

Netting Indicator:

Ordering Customer:

Ordering Institution:

Senders Correspondent:

Receivers Correspondent:

Account With Institution:

Beneficiary Institution:

Ultimate Beneficiary:

Intermediary Institution:

Intermediary Reimbursement Institution:

Payment Details

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Currency Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Currency Event	System displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Summary

User can review the summary screen of Guarantee/SBLC Advise Cancellation request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTFPM) Summary screen for a Guarantee Advise Cancellation request. The application number is PK2GTAC000043100. The screen is titled "Summary" and displays the following data segments:

- Main:** SBL/Guarantee Type : **BILL**, Submission Mode : **Desk**, Date of Issue : **2019-03-22**
- Guarantee Preference:** FFT Code 1, FFT Code 2
- Additional Fields:** Click here to view Additional fields
- Advices:** Advice 1 : **GUA_AMD_IN**, Advice 2 : **GUAR_RELEASE**, Advice 3 : **LC_ACK_AMND**, Advice 4 : **LC_CASH_CO**, Advice 5 : **PAYMENT_ME**
- Limits and Collaterals:** Limit Currency, Limit Contribution, Limit Status : **Not Verified**, Collateral Currency : **GBP**, Collateral Contr. : **2000**, Collateral Status : **Not Verified**
- Commission, Charges and Taxes:** Charge : **GBP100**, Commission, Tax, Block Status : **Not Initia**
- Preview Message:** Language : **ENG**, Preview Message : **--**
- Compliance details:** KYC : **Not Initia**, Sanctions : **Not Initia**, AML : **Not Initia**
- Accounting Details:** Event, Account Number, Branch

The screen also includes a navigation menu on the left, a top navigation bar with icons for Clarification Details, Overrides, Incoming Message, and View Undertaking, and a bottom navigation bar with buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

Tiles Displayed in Summary

- **Main Details** - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- **Party Details** - User can view the party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- **Guarantee Details** - User can view the Guarantee Details. User can only view but cannot edit any of the details.

- Additional Details - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- Commission, Charges, Taxes - User can view the charge details. User can only view but cannot edit any of the details.
- Additional Fields - User can view the UDF maintained.
- Preview Message - User can have a preview of the message.
- Advices - User can view the advices details.
- Accounting Details - User can view the accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Cancel	Cancel the task window and return to dashboard. The data entered will not be saved.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	
Submit	Task will get moved to next logical stage of Guarantee Advise Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Multi Level Authorization

The Approval user can approve a Guarantee Cancellation request.

As an approver user, log in into OBTFPM application the Guarantee/SBLC Cancellation task should be available in the Free Task. The user can acquire the task.

Re-Key Authorization

If rekey authorization set up is available, then on clicking Acquire, the task will land on the rekey authorization screen otherwise the task will land on the summary screen.

Approval Rekey
✕

📄 Documents
🗨️ Remarks

Undertaking Amount

£25,000.00
✓

Undertaking Currency

GBP
▼
✓

Refer
Close
Proceed

The user can view the details of multilevel approval stage of Guarantee Cancellation request in the Summary screen.

Click Next to view the Summary

Documents and Checklist: Documents:

The approver user can view the uploaded documents and verify the same. Verify the uploaded documents.

Checklist: The approver user can verify the uploaded documents.

Remarks: The approver user can view the remarks captured during various stages.

Incoming Message: As approval user, I should be able to view the incoming message, if the process is initiated through STP of incoming MT 767.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. The user would be able to select a Reject code and give a Reject Description <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>
Back	<p>On click Back, user navigates to previous step.</p>

Approval Summary Screen

The screenshot shows the Oracle Approval Summary Screen for a KYC Exceptional approval. The application number is PK2GTAC000043100. The screen is divided into several summary tiles:

- Main:** SBLC/Guarantee Type : BILL, Submission Mode : Desk, Date of Issue : 2019-03-22
- Guarantee Preference:** FFT Code 1, FFT Code 2
- Additional Fields:** Click here to view Additional fields
- Advices:** Advice 1 : GUA_AMD_IN, Advice 2 : GUAR_RELEASE, Advice 3 : LC_ACK_AMND, Advice 4 : LC_CASH_CO, Advice 5 : PAYMENT_ME
- Limits and Collaterals:** Limit Currency, Limit Contribution, Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr. : 2000, Collateral Status : Not Verified
- Commission, Charges and Taxes:** Charge : GBP100, Commission, Tax, Block Status : Not Initia
- Preview Message:** Language : ENG, Preview Message : -

The interface includes a navigation bar with buttons for 'Clarification Details', 'Overrides', 'Incoming Message', and 'View Undertaking'. At the bottom, there is an 'Audit' button and a set of action buttons: 'Reject', 'Refer', 'Hold', 'Approve', 'Back', and 'Next'.

Tiles Displayed in Summary

- Main Details - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- Guarantee Details - User can view the Guarantee Details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- Commission, Charges, Taxes - User can view the charge details. User can only view but cannot edit any of the details.
- Additional Fields - User can view the UDF maintained.
- Preview Message - User can have a preview of the message.
- Advices - User can view the advices details.

Handoff:

On Approve, the task is handed off to the back office (LCDGUAMD) for postings. In the back office, the relevant accounting entries are posted, advices are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task lands to retry handoff queue. The user can manually try to initiate handoff.

A

Acknowledgement Details	15
Action Buttons	10, 15, 17, 20, 24
Additional Details	21
Action Buttons	24, 27
Additional Fields	17
Action Buttons	17
Advices	18
Action Buttons	20
Application Details	5, 13
Approval Summary	33
Approval Summary Screen	33

B

Benefits	1
----------------	---

C

Charge Details	22
Commission Details	23
Common Initiation Stage	2
Action Buttons	2

D

Data	10
Data Enrichment	10

G

Guarantee Details	6
Guarantee Preference	15
Action Buttons	16
Guarantee Preferences	
Demand Indicator	18

H

Handoff	33
---------------	----

K

Key Features	1
--------------------	---

M

Main Details	12
Action Buttons	13
Application Details	13
Guarantee Details	13
Miscellaneous	9
Multi Level Authorization	30

O

Overview	1
----------------	---

P

Preview – SWIFT and Advise	26
Preview Message	26

R

Registration	3
Action Buttons	9
Guarantee Details	10
Re-Key Authorization	30

S

SBLC/ Guarantee Details	13
Scrutiny	
Summary	24
Summary	28
Action Buttons	29, 32
Summary	28, 33

T

Tax Details	24
Tiles Displayed in Summary	33

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Feedback and Support

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.